UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/674,220	09/29/2003	Jessy Rouyer	139165USNP	2505

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EXAMINER
CHRISS, ANDREW W

ART UNIT	PAPER NUMBER
2472	

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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<table>
<tr><td rowspan="2">Office Action Summary</td><td>Application No.
10/674,220</td><td>Applicant(s)
ROUYER ET AL.</td><td></td></tr>
<tr><td>Examiner
Andrew Chriss</td><td>Art Unit
2472</td><td></td></tr>
</table>

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>04 November 2009</u>.
2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.
3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-20</u> is/are pending in the application.
  4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5)☐ Claim(s) _____ is/are allowed.
6)☒ Claim(s) <u>1-20</u> is/are rejected.
7)☐ Claim(s) _____ is/are objected to.
8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.
10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.
  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  a)☐ All b)☐ Some * c)☐ None of:
    1.☐ Certified copies of the priority documents have been received.
    2.☐ Certified copies of the priority documents have been received in Application No. _____.
    3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
  * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1)☒ Notice of References Cited (PTO-892)
2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3)☐ Information Disclosure Statement(s) (PTO/SB/08)
  Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
  Paper No(s)/Mail Date. _____.
5)☐ Notice of Informal Patent Application
6)☐ Other: _____.

DETAILED ACTION

Response to Amendment

1.   Applicant's amendment, filed November 4, 2009, has been entered and carefully

considered. Claim 1 is amended, Claim 21 is canceled, and Claims 1-20 are currently pending.

2.   In light of Applicant's amendment to Claim 1, the outstanding rejections of Claims 1-20

under 35 U.S.C. 103(a) are withdrawn.

Claim Rejections - 35 USC § 112

3.   The following is a quotation of the first paragraph of 35 U.S.C. 112:

> The specification shall contain a written description of the invention, and of the manner and process of making
> and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it
> pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode
> contemplated by the inventor of carrying out his invention.

4.   **Claims 1-20** are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with

the written description requirement. The claims contains subject matter which was not described

in the specification in such a way as to reasonably convey to one skilled in the relevant art that

the inventors, at the time the application was filed, had possession of the claimed invention.

Claim 1 recites the newly added claim limitation "an automatic change of state of the at least one

bit that indicates the link type." Examiner notes that Applicant's disclosure describes changing

the link type field in the following passages:

- at paragraph 0025: "When a failure occurs in a link in system 10, that failure is

   detected according to known protocols. However, as an enhancement in a preferred

   embodiment, in response to the failure detection, a node within system 10 changes the

   state of link type field 20s so that each packet so changed will be routed along a

bypass link, where recall by way of example that a binary value of 1 in link type field 205 causes this effect" and "assume that node N2 receives a packet via a spanning tree link on its port P21 while at the same time node N2 has notice of a failure in the adjacent spanning tree link between nodes N2 and N3. In response, response, node N2 changes the state of link type field 205 in the received packet from a value of 0 to a value of 1; at the same time, note in the preferred embodiment that node N2, as a node adjacent the failure, delays the flushing of addresses in its forwarding table because otherwise it will have to broadcast. In an alternative approach, however, a node prior to one adjacent the failure, such as node N1 in the present case, may change the state of link type field 20s in a received packet from a value of 0 to a value of 1."

- at paragraph 0027: "…recall that a failure occurred in a spanning tree link between nodes N2 and N3, node N2 changed link type field 205 in the packet to a value of 1, and the packet was routed to node N6 which in response consulted its bypass table (because of the set link type field 205) and routed the packet to node N3. Node N3, therefore, represents a node that receives a bypass packet and that is adjacent (i.e., directly connected to) a link failure. According to one preferred embodiment, the response of such a node may be one of two approaches. In a first approach, the node changes the stated of the link type field 205 of the packet back to a value (e.g., 0) that will cause the packet thereafter to be routed in a manner comparable to the prior art spanning tree protocol."

As shown in the cited passages, the link type field is changed (e.g., from binary 0 to binary 1) in response to a node detecting a failure and does not happen automatically (i.e., independently). Therefore, Applicant's disclosure, as originally filed, does not support an "automatic" change of state of a link type bit, as claimed. Claims 2-20 are rejected due to their dependence on Claim 1.

Claim Rejections - 35 USC § 103

5.   The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

6.   **Claims 1 and 8-11** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe (United States Patent 7,061,876) in view of Rigby et al (United States Patent Application Publication US 2003/0223358 A1), hereinafter Rigby, and Dravida et al (United States Patent 5,253,248), hereinafter Dravida.

Regarding Claim 1, Ambe discloses a bridged network system, as shown in Figure 1A. The bridged network comprises a plurality of nodes (switches B1-B5), wherein each node is coupled to communicate with at least one other node in the plurality of nodes, and wherein the plurality of nodes comprise a bridge network between external nodes (terminals A11 through A53) located externally from the plurality of nodes. Further, each node is operable to receive a frame (packet) as shown in Figure 11, wherein the packet comprises a destination MAC address list, as shown in Figure 9B.   Further, Ambe discloses that responsive to a packet being received prior to a time of failure between two of the plurality of nodes, the node transmits the packet along a first route in the system, as shown in step S14 in Figure 11. Examiner asserts that a packet being received prior to a time of failure is equivalent to the normal operating conditions

of a network. However, Ambe does not disclose transmitting the packet along a second route in

the system after a time of failure in response to a route identifier. In the same field of endeavor,

Rigby discloses a primary path identifier (PPI) and a down path identifier (DPI) (paragraph

0037). The PPI is assigned a value, compared to a DPI and is used in order to route a packet

along a separate path in the event that the primary path is down (paragraph 0039). It would have

been obvious to one of ordinary skill in the art at the time the invention was made to combine the

path identifiers taught in Rigby with the bridged network system disclosed in Ambe in order to

provide a protection switching mechanism to support traditional telecommunications traffic in

packet-based networks. However, the aforementioned references do not disclose a route

indicator field further comprising at least one bit that indicates a link type or an automatic change

of state of the at least one bit that indicates the link type. In the same field of endeavor, Dravida

discloses marking a bit in the header of all packets that are routed on an alternate path (column

10, lines 30-40). Further, Dravida discloses changing the state of the bit in the header of the

packet if it is to be routed on the alternate path (Figure 26, 2609; column 10, line 66 – column

11, line 5). It would have been obvious to one of ordinary skill in the art at the time the

invention was made to combine the packet marking disclosed in Dravida with the bridged

network system disclosed in Ambe, as modified above, in order to relieve congestion caused by

transient focused overloads in connectionless networks (see column 3, lines 11-15 of Dravida).

      Regarding Claim 8, Ambe further discloses identifying a transmit port in the node that

corresponds to a receipt port in the node, as shown in Figure 7. Further, Ambe discloses

transmitting a frame (packet) via the ports (column 4, lines 41-45). However, Ambe does not

disclose transmitting the packet along a second route. In the same field of endeavor, Rigby

discloses transmitting a packet along a second route (paragraph 0039). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the path identifiers taught in Rigby with the bridged network system disclosed in Ambe in order to provide a protection switching mechanism to support traditional telecommunications traffic in packet-based networks.

Regarding Claim 9, Ambe further discloses an optimum spanning tree selection table, which does not contain a destination address (Figure 7). The optimum spanning tree is determined based on a hop count or by a path cost (column 2, lines 43-45). Therefore, the transmitting step is not responsive to a destination address in the packet.

Regarding Claim 10, Ambe discloses multiple nodes being operable to receive and transmit packets along any one of multiple routes, based on information contained in a spanning tree, until the packet reaches terminal A11 via switch B1, which serves as an egress node in the bridged network.

Regarding Claim 11, Ambe further discloses identifying a transmit port in the node that corresponds to a receipt port in the node, as shown in Figure 7. Further, Ambe discloses transmitting a frame (packet) via the ports (column 4, lines 41-45). However, Ambe does not disclose transmitting the packet along a second route. In the same field of endeavor, Rigby discloses transmitting a packet along a second route (paragraph 0039). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the path identifiers taught in Rigby with the bridged network system disclosed in Ambe in order to provide a protection switching mechanism to support traditional telecommunications traffic in packet-based networks.

7.   **Claims 2-5 and 7** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in

view of Rigby and Dravida, as applied to claim 1 above, and further in view of Perlman et al

(United States Patent 5,796,740), hereinafter Perlman.

Regarding Claim 2, Ambe, Rigby, and Dravida disclose all of the limitations of Claim 1,

as discussed above. However, the references do not disclose determining a third route in the

system after the time of failure, receiving a second packet after the first packet, transmitting the

second packet along the third route. In the same field of endeavor, Perlman discloses

determining a third link and receiving a subsequent (second) packet. Further, Perlman discloses

forwarding said subsequent packet along a third route (column 18, lines 61-62). It would have

been obvious to one of ordinary skill in the art at the time of the invention to combine the packet

forwarding taught in Perlman with Ambe, as modified above, in order to reduce the time

required to forward data packets.

Regarding Claim 3, Ambe, Rigby, and Dravida do not disclose changing the state of the

route indicator field to cause transmission to the third route after receiving the second packet and

prior to transmitting the second packet. In the same field of endeavor, Perlman discloses writing

a data link address of a receiving end station into a data link destination address field of a first

packet (column 2, lines 52-63) and forwarding said first packet onto said third link (column 18,

lines 61-62). Further Perlman discloses writing a data link address into data link destination

address field of subsequent packets (which would include a second packet) transmitted to said

receiving end station. It would have been obvious to one of ordinary skill in the art at the time of

the invention to combine the packet forwarding taught in Perlman with Ambe, as modified

above, in order to reduce the time required to forward data packets.

Regarding Claim 4, Ambe discloses the terminal A31 transmits an ARP response frame whose destination MAC address for terminal A11, which is external to the plurality of nodes. (Column 6, lines 21-27). The switch B3, in order to transmit the frame, consults an expanded learning table (Figure 6), which identifies a transmit port in the node that corresponds to a destination address (MAC address) in the packet. After consulting the expanded learning tree, the switch transmits the ARP response frame along a first route, using a default spanning tree, via a transmit port (column 6, lines 53-56).

Regarding Claim 5, Ambe further discloses identifying a transmit port in the node that corresponds to a destination address in the packet, as discussed with regards to Claim 4 above. However, Ambe, Rigby, and Dravida do not disclose transmitting the packet via the transmit port to the third route. In the same field of endeavor, Perlman discloses forwarding a packet along a third route, as discussed with regards to Claim 2 above. It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the packet forwarding taught in Perlman with Ambe, as modified above, in order to reduce the time required to forward data packets.

Regarding Claim 7, Ambe further discloses setting the route indicator field and transmitting it along the first route. However, the references do not disclose performing these operations after receiving a second packet. In the same field of endeavor, Perlman discloses receiving a second packet, as discussed with regards to Claim 2 above. It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the packet forwarding taught in Perlman with Ambe, as modified above, in order to reduce the time required to forward data packets.

8.   **Claim 6** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in view of Rigby, Dravida, and Perlman as applied to claim 2 above, and further in view of Petersen et al (United States Patent 6,154,448), hereinafter Petersen. The combination of Ambe, Rigby, Dravida, and Perlman disclose all of the limitations of Claim 2, as described above. However, the references do not disclose a node, adjacent to a failure in the first route, receiving the second packet. In the same field of endeavor, Petersen discloses a method for detecting a failure in a telecommunications network, wherein a second packet is received by a node adjacent to a failed link (column 11, lines 22-38). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the next hop loopback technique taught in Petersen with Ambe, as modified above, in order to implement the path restoration technique on an "as needed" basis rather than a periodic basis, thus conserving network resources.

9.   **Claim 12-14, 16, and 17** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in view of Rigby and Dravida, as applied to Claims 1 above, and further in view of Navar et al (United States Patent 6,915,445), hereinafter Navar. Ambe, Rigby, and Dravida disclose all of the limitations of Claim 1, as described above. Further, Ambe discloses a first node (B3) in the plurality of nodes that receives a packet from a first external node (A31), thus comprising an ingress node. Ambe also discloses a second node (B1) in the plurality of nodes that is coupled to communicate the packet to a second external node (A11), thus comprising an egress node. However, the references do not disclose, responsive to a node in the plurality of nodes receiving a packet as an ingress node, inserting an address of the ingress node and the egress node into the packet. In the same field of endeavor, Navar discloses a label switched router (LSR) 105 which acts as an ingress to a network. The LSR then switches the existing labels on the packets with

new values representing ingress and egress addresses (column 6, lines 39-45). It would have

been obvious to one of ordinary skill in the art at the time of the invention to combine the

disclosure of Navar with Ambe, as modified above, in order to provide distributed processing,

thus ensuring the routing will still be able to occur in spite of component failures.

Regarding Claim 13, Ambe further discloses transmitting the packet along either the

first route or the second route by identifying a transmit port in the node (Figure 6) and

transmitting the packet via the transmit port to either the first or second route (Figure 8), as

described with regards to Claim 5 above.

Regarding Claim 14, Ambe further discloses transmitting the packet along either the

first or second route responsive to a value of an optimum spanning tree, equivalent to

Applicant's route indicator field (Figure 8).

Regarding Claim 16, Ambe further discloses a first route and a second route comprising

routes in a plurality of different routes, wherein each route is identified prior to a time of failure

using an optimum spanning tree (Figure 7), equivalent to Applicant's route indicator field.

Regarding Claim 17, Ambe further discloses each route in the plurality of different

routes being identified by a corresponding and different value in the optimum spanning tree

(Figure 7), equivalent to Applicant's route indicator field.

10.   **Claim 15** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in view of

Rigby, Dravida, and Navar, as applied to claim 14 above, and further in view of Habetha (United

States Patent United States Patent 7,031,321). The combination of Ambe, Rigby, Dravida, and

Navar disclose all of the limitations of Claim 14, as described above. However, the references

do not disclose the packet comprising a field indicating the allowability of an ingress node or a

node adjacent a failure to change a state in the route indicator field. In the same field of

endeavor, Habetha discloses an UPDATE TRIGGER message, which contains information on

changes in the network topology (column 7, lines 41-51). This message would cause a node that

receives it (e.g., an ingress node to a network, a node adjacent to a failure) to change its routing

tables, and packets that come through. It would have been obvious to one of ordinary skill in the

art at the time of the invention to combine the dynamic routing method taught in Habetha with

Ambe, as modified above, in order to reduce the quantity of data to be transmitted when updating

local routing tables.

11.   **Claims 18 and 19** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in

view of Rigby, Dravida, and Navar as applied to claim 16 above, and further in view of Nozaki

et al (United States Patent 6,950,431), hereinafter Nozaki.

        Regarding Claim 18, the combination of Ambe, Rigby, Dravida, and Navar disclose all

of the limitations of Claim 16, as described above. However, the references do not disclose the

packet comprising a VLAN identifier field. In the same field of endeavor, Nozaki discloses a

packet structure containing a VLAN-ID, as shown in Figure 3. It would have been obvious to

one of ordinary skill in the art at the time of the invention the disclosure of Nozaki with Ambe,

as modified above, in order to provide an information relay technique capable of providing a

multicast service without increasing the amount of control traffic in the network.

        Regarding Claim 19, the combination of Ambe, Rigby, Dravida, and Navar does not

disclose the VLAN identifier field facilitating registration of selected different routes in the

plurality of routes. In the same field of endeavor, Nozaki discloses a VLAN table in Figure 2

which uses the VLAN-ID to register multiple routes. It would have been obvious to one of

ordinary skill in the art at the time of the invention the disclosure of Nozaki with Ambe, as

modified above, in order to provide an information relay technique capable of providing a

multicast service without increasing the amount of control traffic in the network.

12.   **Claim 20** rejected under 35 U.S.C. 103(a) as being unpatentable over Ambe in view of

Rigby, Dravida, and Navar as applied to claim 16 above, and further in view of Perlman. The

combination of Ambe, Rigby, Dravida, and Navar discloses all of the limitations of Claim 16, as

discussed above. However, the references do not disclose determining a third route in the system

after the time of failure, receiving a second packet after the first packet, or transmitting the

second packet along the third route. Perlman discloses determining a third route in the system,

receiving a second packet, and transmitting the second packet along the third route, as discussed

with regards to Claim 2 above. It would have been obvious to one of ordinary skill in the art at

the time of the invention to combine the packet forwarding taught in Perlman with Ambe, as

modified above, in order to reduce the time required to forward data packets in order to reduce

the time required to forward data packets.

Response to Arguments

13.   Applicant's arguments filed November 4, 2009 with respect to rejection of Claims 1-20

under 35 U.S.C. 103(a) have been considered but are moot in view of the new grounds of

rejection.

Conclusion

14.   Applicant's amendment necessitated the new ground(s) of rejection presented in this
Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).
Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

      A shortened statutory period for reply to this final action is set to expire THREE
MONTHS from the mailing date of this action. In the event a first reply is filed within TWO
MONTHS of the mailing date of this final action and the advisory action is not mailed until after
the end of the THREE-MONTH shortened statutory period, then the shortened statutory period
will expire on the date the advisory action is mailed, and any extension fee pursuant to 37
CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,
however, will the statutory period for reply expire later than SIX MONTHS from the date of this
final action.

      Any inquiry concerning this communication or earlier communications from the
examiner should be directed to Andrew Chriss whose telephone number is (571)272-1774. The
examiner can normally be reached on Monday - Friday, 7:30 AM - 5:00 PM.

      If attempts to reach the examiner by telephone are unsuccessful, the examiner's
supervisor, William Trost can be reached on 571-272-7872. The fax phone number for the
organization where this application or proceeding is assigned is 571-273-8300.

      Information regarding the status of an application may be obtained from the Patent
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like assistance from a USPTO Customer Service Representative or access to the automated
information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Andrew Chriss   /William Trost/
Examiner   Supervisory Patent Examiner, Art Unit
Art Unit 2472   2472
1/20/2010

/A. C./
Examiner, Art Unit 2472